

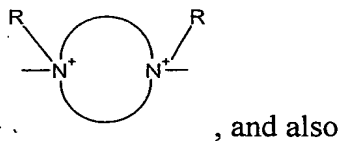
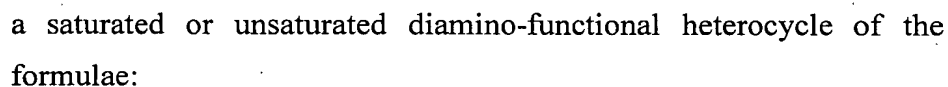
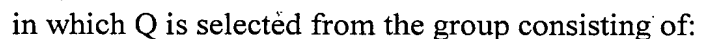
10/533746

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ENGLISH TRANSLATION OF EXAMINATION REPORT ANNEX

NEW CLAIMS:

- 5 a) at least one nitrogen-free polysiloxane compound,
b) at least one polyamino- and/or polyammonium-polysiloxane compound b1) which is selected from polysiloxane compounds which contain at least one unit of the formula (I):



an aromatic diamino-functional heterocycle of the formula:

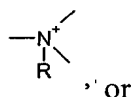


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a trivalent radical of the formula:



a trivalent radical of the formula:



5 a tetravalent radical of the formula



in which R is in each case hydrogen or a monovalent organic radical,

where Q is not bonded to a carbonyl carbon atom,

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V is at least one constituent which is selected from the group consisting of V¹, V² and V³, where

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V² is selected from divalent, straight-chain, cyclic or branched, saturated, unsaturated or aromatic hydrocarbon radicals which have up to 1000 carbon atoms (not counting the carbon atoms of the polysiloxane radical Z² defined below) and may optionally contain one or more groups selected from

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-O-, -CONH-,

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-CONR²-, in which R² is hydrogen, a monovalent, straight-chain, cyclic or branched, saturated, unsaturated or aromatic hydrocarbon radical which has up to 100 carbon atoms, may contain one or more groups selected from -O-, -NH-, -C(O)- and -C(S)-, and may optionally be substituted by one or more substituents selected from the group consisting of a hydroxyl group, an optionally substituted heterocyclic group preferably containing one or more nitrogen atoms, amino, alkylamino, dialkylamino, ammonium, polyether radicals and polyether

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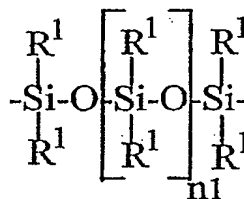
ester radicals, where, when a plurality of $-\text{CONR}^2$ groups is present, they may be the same or different,

$-\text{C(O)}-$ and $-\text{C(S)}-$,

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the V^2 radical may optionally be substituted by one or more hydroxyl groups, and

the V^2 radical contains at least one $-\text{Z}^2-$ group of the formula



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in which

R^1 may be the same or different and is selected from the group consisting of: C_1 to C_{22} alkyl, fluoro(C_1 - C_{10})alkyl and C_6 - C_{10} aryl, and

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$n_1 = \text{from } 20 \text{ to } 1000,$

V^1 is selected from divalent, straight-chain, cyclic or branched, saturated, unsaturated or aromatic hydrocarbon radicals which have up to 1000 carbon atoms and may optionally contain one or more groups selected from

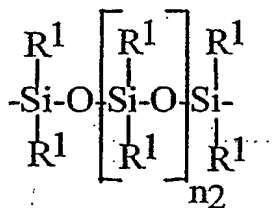
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$-\text{O}-$, $-\text{CONH}-$,

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$-\text{CONR}^2-$, in which R^2 is as defined above, where the R^2 groups in the V^1 and V^2 groups may be the same or different,

$-\text{C(O)}-$, $-\text{C(S)}-$ and $-\text{Z}^1-$, where $-\text{Z}^1-$ is a group of the formula



in which

R^1 is as defined above, where the R^1 groups in the V^1 and V^2 groups may be the same or different, and

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$$n_2 = \text{from } 0 \text{ to } 19.$$

and the V¹ radical may optionally be substituted by one or more hydroxyl groups, and

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V³ is a trivalent or higher-valency, straight-chain, cyclic or branched, saturated, unsaturated or aromatic hydrocarbon radical which has up to 1000 carbon atoms, may optionally contain one or more groups selected from

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-O-, -CONH-, -CONR²-, in which R² is as defined above, -C(O)-, -C(S)-, -Z¹- which is as defined above, -Z²- which is as defined above and Z³, where Z³ is a trivalent or higher-valency organopolysiloxane unit, and

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may optionally be substituted by one or more hydroxyl groups,

where, in said polysiloxane compound, in each case one or more V¹ groups, one or more V² groups and/or one or more V³ groups may be present,

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with the proviso

– that said polysiloxane compound contains a plurality of V² groups,

- that said polysiloxane compound contains at least one V^1 , V^2 or V^3 group which contains at least one $-Z^1-$, $-Z^2-$ or Z^3 group, and
 - that the tri- and tetravalent Q radicals either serve to branch the main chain formed from Q and V, so that the valencies which do not serve for bonding in the main chain bear further branches formed from $-[Q-V]-$ units, or the tri- and tetravalent Q radicals are saturated with V^3 radicals within a linear main chain without formation of a branch,
- and wherein the positive charges resulting from ammonium groups are neutralized by organic or inorganic acid anions, and acid addition salts thereof,
- and optionally at least one amino- and/or ammonium-polysiloxane compound b2)
- c) optionally one or more silicone-free surfactants,
 - d) optionally one or more coacervate phase formation agents,
 - e) optionally one or more carrier substances.
- 2) The formulation as claimed in claim 1, characterized in that it contains, based on the total amount of components a) and b), from 5 to 99% by weight of component a) and from 1 to 95% by weight of component b).
- 3) The formulation as claimed in claim 1 or 2, in which the component e) is selected from solid carrier substances f) and/or liquid carrier substances g).
- 4) The formulation as claimed in one of claims 1 to 3, characterized in that it contains, based on 100 parts by weight of components a) and b), from 0 to 1500 parts by weight of components c), d) and e).
- 5) The formulation as claimed in one of claims 1 to 4, characterized in that it contains, based on 100 parts by weight of components a) and b), from 0 to 70 parts by weight of component c).

- 6) The formulation as claimed in one of claims 1 to 5, characterized in that it contains, based on 100 parts by weight of components a) and b), from 0 to 10 parts by weight of component d).
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- 7) The formulation as claimed in one of claims 1 to 6, characterized in that it contains, based on 100 parts by weight of components a) and b), from 0 to 710 parts by weight of component f).
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- 8) The formulation as claimed in one of claims 1 to 7, characterized in that it contains, based on 100 parts by weight of components a) and b), from 0 to 710 parts by weight of component g).
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- 9) The formulation as claimed in one of claims 1 to 8, characterized in that component a) is at least one constituent which is selected from the group consisting of: straight-chain, cyclic, branched and partially crosslinked polyorganosiloxanes.
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- 10) The formulation as claimed in one of claims 1 to 9, characterized in that the amino- and/or ammonium-polysiloxane compound b2) is a polysiloxane compound which contains amino and/or ammonium groups in the pendent groups of a polyorganosiloxane main chain.
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- 11) The formulation as claimed in one of claims 1 to 10, characterized in that the silicone-free surfactant as component c) is at least one constituent which is selected from nonpolymerized, organic, quaternary ammonium compounds.
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- 12) The formulation as claimed in one of claims 1 to 11, characterized in that the coacervate phase formation agent as component d) comprises at least one constituent which is selected from cationic, silicone-free polymer compounds.
- 13) The formulation as claimed in one of claims 3 to 12, characterized in that the

solid carrier substance f) is at least one constituent which is selected from the group of the water-soluble compounds which have a solubility in water of at least 100 grams/liter at 20°C.

- 5 14) The formulation as claimed in one of claims 3 to 13, characterized in that the liquid carrier substance g) is at least one constituent which is selected from the group consisting of water and water-miscible organic solvents.
- 10 15) The formulation as claimed in one of claims 1 to 14, characterized in that it is solid or liquid at 40°C.
- 15 16) A process for preparing the formulation as claimed in one of claims 1 to 15, which comprises the steps of:
a) mixing components a) and b) to give a homogeneous premixture, and
b) optionally introducing components c), d) and/or e).
- 17) The use of the formulation as claimed in one of claims 1 to 15 in cosmetic formulations, in laundry detergents or for the surface treatment of substrates.
- 20 18) The use of the formulation as claimed in one of claims 1 to 15 and 17 for fiber treatment or fiber finishing.
- 25 19) The use of the formulation as claimed in one of claims 1 to 15, 17 and 18 as a formulation for the treatment of textiles and other natural and synthetic fiberlike materials including paper.
- 20) The use of the formulation as claimed in one of claims 1 to 15, 17, 18 and 19 as a softener.